



भारतीय राष्ट्रीय राजमार्ग प्राधिकरण
(सड़क परिवहन और राजमार्ग मंत्रालय)
National Highways Authority of India

(Ministry of Road Transport and Highways)
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NHAI/Policy Guidelines/Consultants/2020
No. 10.1.22 dated 10th August, 2020

Sub: Technical Capacity provisions in RFP/ Bidding of future consultancy assignments for maximum works to be permitted with one consultant.

It was observed that a few consultancy firms are getting awarded disproportionately higher number of consultancy assignments, individually and/ or in joint ventures/ associations with other firms. There are instances that many of these consultancy firms either were unable to meet the timelines for various deliverables or quality of deliverables were compromised. As such, a need is felt to rationalize the number of assignments/ works with one Consultant. Accordingly, it is decided as given below:

- (i) The maximum number of works to be permitted with one consultant is restricted as per the following ceilings.

Sr. No.	Average Annual Turnover of Firm in last 3 Financial Years (from consultancy works)	No. of Key Professionals on full time rolls (minimum for last one year) for Sole Consultant (In case of JV, both the partners have to fulfil 50% criteria)	Max. no. of projects to be allotted to one particular consultant including ongoing projects at a time*
(1)	(2)	(3)	(4)
1.	Up to Rs.10 Crores	10	8
2.	More than Rs.10 to 30 Crores	25	16
3.	More than Rs.30 to 60 Crores	40	30
4.	More than Rs.60 Crores	60	50

Note: 1) *In Col.4, to arrive at number in case of JVI Association in ongoing assignments, the consultancy fee shared will be considered, i.e., if the share of a consultancy firm is 25% in any assignment, it would be counted as 0.25.

2) Conditions in both Col.2 & Col.3 are to be fulfilled to claim maximum no. of projects given in Col.4.

- (ii) The consulting firms should be encouraged to carry out a mix of DPR and Supervision Assignments like IE/AE/ Supervision Consultants (SC). Out of maximum number of projects given at Col.4 above, the ceiling for IE/AE/SC assignments is 75%. For example, the Consulting Firm at Sr. No. 1 can get maximum 6 number of IE/AE/SC assignments only, whereas they can get 8 DPR assignments with 'Nil' IE/AE/SC assignments. The Financial Proposal of the Consulting Firm crossing the upper ceiling of assignments as at Col.4 above shall not be opened.
- (iii) The assignments where provisional completion of civil work was over would be considered as completed, unless there is specific default of the consulting firm. The firm shall give an undertaking in this regard.
- (iv) For the purpose of calculation of maximum no. of projects in Col.4, the work awarded and in progress will be taken into consideration as utilized capacity.
- (v) Special Projects like tunnel, stand-alone bridge and emergency landing facility shall be exempted from the above criteria.

- (vi) Assignments having less than 6 months contract period shall be excluded from above ceilings.
- (vii) Projects awarded by NHAI, MORT&H, NHIDCL & State PWDs for NH works shall be considered for maximum no. of projects in Col.4 purpose. The Consultant shall provide these details and they shall be solely responsible for accuracy of such details provided.
- (viii) The Consulting Firms shall submit Form-26 AS in order to certify their permanent key personnel (as given in Column 3 of above table) along with the proposed candidates.

2. In view of above, the bidders/ consulting firms shall submit the additional details. S, RD&Q Division of NHAI shall circulate the addendum to RFPs to implement above decisions.

3. The above decisions shall be applicable to the RFPs of all relevant consultancy assignments being invited on or after 01.09.2020.

This issues with the approval of Chairman.

Encl.: As above


(Amarendra Kumar)
CGM (Coord.)

All Officers of HQ/ROs/PIUs/CMUs/Site Offices.