



भारतीय राष्ट्रीय राजमार्ग प्राधिकरण

(सड़क परिवहन और राजमार्ग मंत्रालय)

National Highways Authority of India

(Ministry of Road Transport and Highways)

जी-5 एवं 6, सेक्टर-10, द्वारका, नई दिल्ली-110075

G-5 & 6, Sector-10, Dwarka, New Delhi-110075

NHA/ Policy Guidelines/ List of debarred Agencies & Individuals and List of contracts terminated for defaults of the Agencies 16.8/ 2017, Dated 22.12.2017

[Decision taken in the meeting of Executive Committee held on 07.12.2017 on Agenda Item-327.19]

Subject: Updating/ maintaining on NHA website the lists of

- (i) Debarred/ blacklisted Concessionaires/ Contractors, Consultants & Individuals; and
- (ii) Contracts terminated by NHA for defaults of Concessionaires/ Contractors/ Consultants.

Central Vigilance Commission (CVC) has been advising NHA to bring systemic improvements for display of lists of debarred agencies and key personnel on website of NHA. Accordingly, the following instructions are issued for strict compliance.

- (i) All Technical Divisions / ROs shall compile the details of existing cases in the following formats (enclosed), take the approval of concerned Member and then furnish to PMD [at e-mail pmd@nhai.org]. PMD will place such details on NHA Website.

Form A	Details of Contractors / Concessionaire's debarred / blacklisted in NHA works.
Form B	Details of Consulting Firms debarred / blacklisted in NHA works
Form C	Details of Personnel (Individuals) debarred / blacklisted in NHA works

- (ii) Similar action may also be taken for the projects terminated by NHA due to Contractor/ Concessionaire's event of default after taking case wise approval from concerned Member and furnish the details in the following format (enclosed) to PMD:

Form D	Details of Projects Terminated by NHA due to Contractor/ Concessionaire's event of default]
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- (iii) Before furnishing the details of cases to PMD as at Para-(i) and (ii) above, Technical Divisions / ROs shall undertake detailed examination of each case on its own merits and legal sustainability, if required. In cases where the termination or debarment had been stayed in any pending Arbitration proceedings or any Court orders, the decision in such cases should be taken only after completion of the legal proceedings, unless the adjudicating body/ court had refused to intervene or decline any interim relief on the termination or debarment. It may also be noted that if the duration of blacklisting an agency or individual has already lapsed, as on 01.12.2017, the details of such cases need not be provided to PMD.

- (iv) The above instructions shall be followed strictly for future cases of termination or debarment also and the details in the enclosed formats, as applicable [Form-A or B or C or D] shall be provided promptly to PMD so that PMD updates the information on the NHA's website. Wherever required, the blacklisting procedure should also be started as a follow up of termination by the concerned Technical Division/ RO.

Encl.: As above.

(A.K. Sadhu)

Chief General Manager (Coord.)

To

All officers and employees of HQ/ ROs/ PIUs/ CMUs/ Site Offices.

Details of Contractors / Concessionaire's debarred / blacklisted in NHAI.

1	Name of Technical Division / RO									
2	Name of Project / Stretch where debarment occurred									
3	Date of Contract /Concession Agreement or LoA (as applicable)	dd/mm/yyyy								
4	Name of Contractor/ Concessionaire									
	(a) Individual / Joint Venture / Consortium	(In case of JV / Consortium provide following details of all JV/Consortium Members)								
	(b) Name of JV/Consortium Members									
	(c) Registered Address.									
	(d) Date of Incorporation (as per Company Act)									
	(e) Shareholders as on date of termination	Name (% shareholding)								
5	Date of issue of debarment order	dd/mm/yyyy								
6	Period of debarment	<table border="1"> <tr> <td></td> <td>From</td> <td>To</td> <td></td> </tr> <tr> <td></td> <td>dd/mm/yyyy</td> <td>dd/mm/yyyy</td> <td></td> </tr> </table>		From	To			dd/mm/yyyy	dd/mm/yyyy	
	From	To								
	dd/mm/yyyy	dd/mm/yyyy								
6.1	Copy of debarment order	Enclose Copy								
7	Details of stay by Court (if any) or withdrawal of debarment / blacklisting									
7.1	Copy of order (if any)	Enclose Copy								

6.12

Details of Consulting Firms debarred / black listed in NHAI works

1	Name of Technical Division / RO	
2	Name of Consulting Firm	
	(a) Individual / Joint Venture / Consortium / Associates	(In case of JV / Consortium / Associates provide following details of all JV/Consortium / Associates)
	(b) Name of JV/Consortium / Associates	
	(c) Registered Address	
	(d) Date of incorporation (as per Company Act.)	
3	Name of Project where default occurred Stretch NH No State	
4	Date of issue of debarment order	dd/mm/yyyy
5	Period of debarment	From
		To
		dd/mm/yyyy
		dd/mm/yyyy
5.1	Copy of debarment order	Enclose Copy
6	Details of stay by Court (if any) or withdrawal of debarment / blacklisting	
6.1	Copy of order (if any)	Enclose Copy

Details of Personnel (Individuals) debarred / Blacklisted in NHAI works:

1	Name of Technical Division / RO			
2	Name of Individual / Personnel	First Name		
		Middle Name		
		Last Name		
3	Date of Birth	dd/mm/yyyy		
4	PAN Card No. Aadhar No	Optional for historic data		
		Mandatory w.e.f. 01.12.2017		
5	Name of Project / stretch engaged at the time of debarment			
6	Position / Designation in the project			
7	Name of Agency / Consultant with whom worked			
8	Date of issue of debarment order	dd/mm/yyyy		
9	Period of debarment	From	To	
		dd/mm/yyyy	dd/mm/yyyy	
9.1	Copy of debarment order	Enclose Copy		
10	Details of stay by Court (if any) or withdrawal of debarment / blacklisting			
10.1	Copy of order (if any)	Enclose Copy		

K. V. R.

Details of Projects Terminated by NHAI due to Contractor / Concessionaire's Event of Default

1	Name of Technical Division / RO	
2	Name of Project / Stretch where termination occurred	
3	Date of Contract /Concession Agreement or LoA	dd/mm/yyyy
4	Name of Contractor/ Concessionaire/ SPV	
	(a) Individual / Joint Venture / Consortium	(In case of JV / Consortium provide following details of all JV/Consortium Members)
	(b) Name of Firm	
	(c) Registered Address.	
	(d) Date of Incorporation (as per Company Act)	
	(e) Shareholders as on date of termination	Name (% shareholding)
5	Date of issue of termination notice	dd/mm/yyyy (Enclose copy of Notice)
6	Details of stay by Court (if any) or withdrawal of termination notice	
6.1	Copy of order (if any)	Enclose Copy

K. V. S.