



भारतीय राष्ट्रीय राजमार्ग प्राधिकरण  
(सड़क परिवहन और राजमार्ग मंत्रालय)  
**National Highways Authority of India**  
(Ministry of Road Transport and Highways)  
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No. NHAI/CGM(CMC)/2015/36

22.05.2015

To,

All CGM/GM(T),  
NHAI-HQ

**Sub: Issuance of Completion Certificate or Provisional Completion Certificate  
in respect of PPP Projects.**

Sir,

Please refer to this office letter of even no. dated 24.04.2015 vide which Technical Divisions were requested to issue the letter enclosed with ibid, letter to all IEs under their jurisdiction. This enclosed letter also contained a checklist/guidelines as Annex-I.

2. M/s NHBF has represented on the issue and after deliberation, EC has decided to amend para 5 of the checklist/guidelines enclosed with the letter. Accordingly, all Technical Divisions are requested to issue the enclosed letter to the IEs appointed for PPP Projects under their jurisdiction.

3. This has the approval of Competent Authority.

Encl: As above

*Alok Deepankar*  
(Alok Deepankar)  
Chief General Manger

Copy to:

1. PS to Chairman, NHAI
2. PS to Member (PPP)
3. PS to Member (Fin./Admn.)
4. PS to Member (Tech.)
5. PS to CVO, NHAI
6. All ROs and PDs - For information and necessary action please.

No.

\_05.2015

To,

M/s. \_\_\_\_\_  
\_\_\_\_\_

**Sub: Issuance of Completion Certificate or Provisional Completion Certificate  
in respect of PPP Projects.**

Sir,

Please refer to this office letter no. \_\_\_\_\_ dated \_\_\_\_\_ vide which a checklist/guidelines was circulated as Annex-1 to the letter on the above cited subject.

2. Para-5 of the checklist/guidelines is amended as under:

*"5. Approval of the Competent Authority for proposal/issue of Change of Scope (positive /Negative), if any, has been obtained or at-least proposal for positive Change of Scopes has been referred to the authority for approval with the recommendations of IE and negative Change of Scope if any, has been approved in-principle by the Authority".*

Yours faithfully,

(.....)

**Checklist/Guidelines for Independent Engineer (IE) regarding Completion Certificate/Provisional Certificate for PPP Projects**

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While issuing Provisional Certificate or Completion Certificate for any PPP project, IE shall satisfy themselves that all provisions and conditions laid down in the related contract(s) and/or Concession Agreement with regard to issue of such Provisional Certificate or Completion Certificate have been complied with. Such satisfaction of IE, shall among various such performances required, also include the following:-

1. Project Highway has been constructed as specified in the Schedule-B and Schedule-C and in conformity with the Specifications and Standards set forth in Schedule-D of the Concession Agreement.
2. All Tests have been conducted in accordance with Schedule-I and have been witnessed by representative of the Authority (NHAI). The IE shall observe, monitor and review the results of the Tests to determine compliance of the Project Highway with Specifications and Standards. The IE shall ensure that the Tests are successful and the Project Highway can be safely and reliably placed in commercial operation.
3. IE shall ensure that the Concessionaire shall comply with the provisions of the Concession Agreement, Applicable Laws and Applicable Permits and conform to Good Industry Practice for securing the safety of the Users.
4. Project Highway has been constructed in accordance with the Project Completion Schedule set forth in Schedule-G of the Concession Agreement. In the event of failure of the Concessionaire to achieve any Project Milestone, action as per relevant provision of the Concession Agreement may be completed or proposal may be referred for approval of Competent Authority with the recommendation of IE.
5. Approval of the Competent Authority for proposal/issue of Change of Scope (positive/negative), if any, has been obtained.
6. In case of the Provisional Certificate, list of outstanding items (Punch List) has been prepared and signed jointly by the Independent Engineer and the Concessionaire. The Punch List shall include only those items of work which are minor in nature and not affecting the safety and reliability of the Project Highway.
7. All lapses, defects or deficiencies observed by the Independent Engineer in the construction of the Project Highway are rectified and all NCRs issued are closed in accordance with the provision of the Concession Agreement.

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