



भारतीय राष्ट्रीय राजमार्ग प्राधिकरण
(सड़क परिवहन और राजमार्ग मंत्रालय)
National Highways Authority of India

(Ministry of Road Transport and Highways)
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NHAI/ Policy Guidelines/Delegation of Powers/ 2019
No. 9.2.27/2019 dated the 2³ September, 2019
[Decision taken on File No. NHA/KNT/Belgaum-Dharwad/2019]

Sub : Policy Guidelines dated 21.08.2017 on Delegation of Powers - Streamlining in-principle approvals for Change-of-Scope (COS)/ Reduction-in-Scope (RIS)

In continuation of Policy Guidelines dated 21.08.2017 & 29.04.2019, the following instructions are issued on taking in-principle approvals for Change-of-Scope (COS)/ Reduction-in-Scope (RIS):

- (i) The purpose of in-principle approval to Change-of-Scope (COS)/ Reduction-in-Scope (RIS) is to get it immediately after the requirement of COS/RIS was identified at site and PD & RO were satisfied with such requirement. In several cases, it was observed that PD, RO & Tech. Divisions at HQ asked for detailed cost estimate, which delayed granting in-principle approvals, thereby defeating the very purpose of in-principle approval. In some cases, even PDs allowed the start of COS work at site. Already, NHAI has been constrained to issue warnings to RO/PD concerned for delays in taking in-principle approvals. Henceforth, PD, RO & Tech. Divisions at HQ shall submit the proposals for in-principle approval of COS/RIS to the Competent Authority within 30 days of identification of requirement of such COS/RIS at site.
- (ii) Vide Policy Guidelines dated 21.08.2017, ROs had been delegated powers for issuing COS/RIS Order for cases where in-principle approvals had been granted by the Competent Authority. Instances have come to the notice, wherein in-principle approved cost of COS varied beyond 5%, thereby requiring revised approval of cost of COS from HQ. In fact, it should not have happened in the normal course, if all components of works were identified properly. However, considering that the detailed designs/ costs are finalized only after in-principle approval, such eventuality cannot be ruled out. This has been examined and the following guidelines are issued:
 - (a) While submitting the proposals for in-principle approval of COS/RIS, PDs & ROs should ensure that the estimates are realistic to the extent feasible. Towards this, the quantities shall be based on detailed designs and rates shall be taken from the applicable SOR duly taking cognizance of the provisions in the Concession/ Contract Agreement.
 - (b) Once in-principle approval is conveyed by the competent authority, COS/RIS order shall be issued by the Regional Officer within 10 days.
 - (c) Though revision of in-principle approved cost of COS/RIS should not happen, but if necessitated, due to justifiable reasons, the Competent

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Authority as indicated below can approve the revisions duly satisfying about the need for such revision.

Regional Officer	Upto 5% of the cost approved in-principle
Member	> 5% and < 15% of cost approved in-principle
Chairman	Full Power

- (iii) It may be noted that delays in submission of proposals for in-principle approval of COS/RIS and/or revision of in-principle approved cost of COS/RIS would attract action against all concerned for such delays and/or wrongly estimating the cost at the first instance.

2. This issues with the approval of the Competent Authority.


23/09

(V.K. Sharma)

Chief General Manager (Coord)

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- (i) All Officers and employee of HQ/ROs/PIU/CMUs/ Site offices.
- (ii) Hindi Officer for translation in Hindi.
- (iii) Library- for hosting the circular library site.